| FORM PTO | | ATTORNEY'S DOCKET NUMBER | | | | | | |
|--|--|---|--------------------------------------|--|--|--|--|--|
| (| TRANSMITTAL LETTER | 045.46647X00 | | | | | | |
| | DESIGNATED/ELECTE CONCERNING A FILIN | U.S. APPLICATION NO. (If kindwingle) 35 CFR 1.5) Not Me Assigned 4 1 6 | | | | | | |
| PCT/JP | ATIONAL APPLICATION NO. 2005/000509 | PRIORITY DATE CLAIMED March 26, 2004 | | | | | | |
| TITLE OF INVENTION Method for Manufacturing a Semiconductor Integrated Circuit Device | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US Nachira MAKUHARA Satashi IMASU Masanga SATO | | | | | | | | |
| Naohiro MAKIHARA Satoshi IMASU Masanao SATO Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | |
| 1. 🔲 | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | |
| 2. 🔲 | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | |
| 3. 🔲 | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | |
| 4. 🛛 | The US has been elected (Article | 31). | | | | | | |
| 5. 🖾 | A copy of the International Application as filed (35 U.S.C. 371(c)(2))) a. is attached hereto (required only if not communicated by the International Bureau). b. is not required, as the application was filed in the United States Receiving Office(RO/US) | | | | | | | |
| 6. | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. | | | | | | | |
| 7. 🗌 | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. | | | | | | | |
| 8. 🔲 | An English language translation of | f the amendments to the claims under PC | CT Article 19 (35 U.S.C. 371(c)(3)). | | | | | |
| 9. 🔲 | An oath or declaration of the inver | ntor(s) (35 U.S.C. 371(c)(4)). | | | | | | |
| 10. 🗌 | An English language translation of Article 36 (35 U.S.C. 371(c)(5)). | f the annexes of the International Prelimi | nary Examination Report under PCT | | | | | |
| Item | s 11 to 20 below concern documer | nt(s) or information included: | | | | | | |
| 11. 🖾 | An Information Disclosure Statem | ent under 37 CFR 1.97 and 1.98. | | | | | | |
| 12. 🔲 | An assignment document for recording | g. A separate cover sheet in compliance with | 37 CFR 3.28 and 3.31 is included. | | | | | |
| 13. 🔲 | A preliminary amendment. | | | | | | | |
| 14. 🔲 | An Application Data Sheet under | 37 CFR 1.76. | | | | | | |
| 15. 🔲 | A substitute specification. | | | | | | | |
| 16. 🔲 | A power of attorney and/or addres | s letter. | | | | | | |
| 17. 🗌 | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. | | | | | | | |
| 18. 🔲 | A second copy of the published in | ternational application under 35 U.S.C. 1 | 154(d)(4). | | | | | |
| 19. 🔲 | A second copy of the English lang | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | |
| 20. 🗵 | Other items or information: Figs.: | 1-11; Request for Examination and Sear | ch; Credit Card Payment Form | | | | | |
| | | | | | | | | |

IAP16 Rec'd PCT/PTO 25 SEP 2006

| U.S. APPLICA | AHONNO Sinne | art | nows, s 37 CFR 1.5) | INTERNATIONAL APPLICATION PCT/JP2005/000509 | TION NO. | ATTORNEY'S DOCKET NUM 045.46647X00 | IBER | |
|---|---|-----------------|---|---|---|---------------------------------------|-----------------|--|
| The following fees have been submitted: | | | | | | | PTO USE ONLY | |
| 21. 🖾 Basic national fee \$300 | | | | | \$300.00 | | | |
| If P | Examination of Internation of All other si | onal p | \$200.00 | | | | | |
| S to Ir | Search fee Search fee the USP nternation All other si | TO as al Sea | \$400.00 | | | | | |
| | | | TOTAL OF | 21, 22 and 23 = | | \$900.00 | | |
| lis | dditional f sting or co lditional 5 | mput | \$0.00 | | | | | |
| Total She | ets | | (round up | each additional 50 or fract to a whole number) | ion thereof Rate | <u> </u> | | |
| - 100 = Surcharge | of \$130. | /50 00 fo | or furnishing the oath or | declaration later than 30 m | | \$0.00 | | |
| | | ime | d priority date (37 CFR | T | DATE | | L | |
| CLA | | | NUMBER FILED | NUMBER EXTRA 0 | x \$50.00 | \$0.00 | | |
| Total Clair | ···, | | 20 - 20 = | 0 | x \$200.00 | \$0.00 | | |
| Independent | | | 1 - 3 = | | + \$360.00 | \$0.00 | | |
| MULTIPLE | DEPEN | DEF | NT CLAIMS(S) (if appli | TOTAL OF ABOVE | | | | |
| | cant clair | | \$0.00 | | | | | |
| are reduced by ½. SUBTOTAL = | | | | | | = \$900.00 | | |
| Processing from the ea | g fee of \$ arliest cla | 130.0 | \$0.00 | | | | | |
| | | | | | NATIONAL FEE | = \$900.00 | | |
| Fee for rec | ording th | ne en | closed assignment (37 Cropriate cover sheet (37 | CFR 1.21(h)). The assignm CFR 3.28, 3.31). \$40.00 p | nent must be per property | \$0.00 | | |
| | | | | | FEES ENCLOSED | = \$900.00 | | |
| | | | | | | Amount to be refunded: | s | |
| | | | | | | Amount to charged: | s | |
| a. ☐ A check in the amount of § to cover the above fees is enclosed. Delease charge my Deposit Account No. 01-2135 in the amount of § to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposition Account No. 01-2135. A duplicate copy of this sheet is enclosed. Delease charge my Deposit Account No. 01-2135 in the amount of § to cover the above fees. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | |
| | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: CUSTOMER NO. 020457 ANTONELLI, TERRY, STOUT & KRAUS, LLP 1300 North 17th Street, Suite 1800 Arlington, VA 22209 | | | 57 | | Signature Alan E. Schiavelli Name 32,087 | | chiavelli | |
| | | | | | | | | |
| Re | | | | | Regist | tration No. | | |

RM PTO-1390 (REV 10-2003) page 2 of 2

IAP16 Rec'd PCT/PTO 25 SEP 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 10/594116

Applicants:

MAKIHARA, et al.

Serial No.:

Not Yet Assigned

Filed:

September 25, 2006

Title:

Method for Manufacturing a Semiconductor Integrated Circuit Device

REQUEST FOR EXAMINATION AND SEARCH

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

September 25, 2006

Sir:

Applicants respectfully request that a search and examination be conducted in the above-identified application. The necessary search fee and examination fee are enclosed herewith.

Please charge any shortage in the fees due in connection with the filing of this paper, including excess claim fees, to Deposit Account No. 01-2135 (045.46647X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLY TERRY, STOUT & KRAUS, LLP

Alap E. Schiavelli Registration No. 32,087

AES/aam 1300 North Seventeenth Street Suite 1800 Arlington, VA 22209 Telephone: (703) 312-6600

Fax: (703) 312-6666

ATTACHMENT

| International Application No. | International Filing Date | Attorney Docket No. |
|-------------------------------|---------------------------|---------------------|
| PCT/JP2005/000509 | January 18, 2005 | 045.46647X00 |

- 1. International Publication No. WO 2005/093442 ***A-1
- 2. Notification of Receipt of Record Copy;
- 3. International Search Report